

3600.2390-D2

Docket: AM-2390-D2

CERTIFICATE OF MAILING

I hereby certify that this correspondence is, on the date below, being deposited with the U.S. Postal Service as first-class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Date: February 27, 2003 Ingrid C. Mallory
Ingrid C. Mallory

Introductory Remarks**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In re Application of:** Jianming FU**Attorneys Docket:** AM-2390.D2**Serial No.:** 09/918,136**Art Unit No.:** 1745**Filed:** July 30, 2001**Examiner:** G. Cantelmo**For:** "SELF IONIZED SPUTTERING USING A HIGH DENSITY PLASMA SOURCE"

Commissioner for Patents
Washington, DC 20231

AMENDMENT UNDER 37 CFR §1.115

Sir:

In an amendment for consideration with the filing of a Request for Reconsideration, please amend the above application as follows:

1201**\$168.00 03/04/2003****1202****\$270.00 03/04/2003**

PTO/SB/30 (10/2001)

Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/918,136
Filing Date	July 30, 2001
First Named Inventor	Jianming FU <i>6/27/01</i>
Art Unit	1745
Examiner Name	G. Cantelmo
Attorney Docket Number	AM-2390.D2

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR §1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR §1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☐ Other _____

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR §1.103(c) for a period of _____ months (Period of suspension shall not exceed 3 months; Fee under 37 CFR §1.17(i) required)

b. ☐ Other _____

3. **Fees** The RCE fee under 37 CFR §1.17(e) is required by 37 CFR §1.114 when the RCE is filed.

a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0636

i. ☒ RCE fee required under 37 CFR §1.17(e)

ii. ☐ Extension of time fee (37 CFR §§1.136 and 1.17)

iii. ☒ Other extra fee claims

b. ☒ Check in the amount of \$ 1188.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Charles S. Guenzler	Registration No. (Attorney / Agent)	30,640
Signature	<i>[Signature]</i>	Date	February 24, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark

Name (Print / Type)	Ingrid C. Mallory	Date	February 24, 2003
Signature	<i>[Signature]</i>		

Garden Hort. Statement: This form is estimated to take 0.2 hours to complete. Time will vary, depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT FILL IN THESE OR COMPLETED FORMS TO THIS ADDRESS. For fees and completed forms to the following address: Assistant Commissioner for Patent Fee Collection, Washington, DC 20231.

1801

\$750.00 03/04/2003

AMENDMENT TRANSMITTAL LETTER (Large Entity)Applicant(s): **Jianming FU**

Docket No.

AM-2390.D2

Serial No.

09/918,136

Filing Date

July 30, 2001

Examiner

G. Cantelmo

Group Art Unit

1745Invention: **SELF IONIZED SPUTTERING USING A HIGH DENSITY PLASMA SOURCE****TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	36	21 =	15 x	\$18.00	\$270.00
INDEP. CLAIMS	6	4 =	2 x	\$84.00	\$168.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$438.00

- ☐ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$438.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0636**
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Signature

Dated: **February 24, 2003**

Charles S. Guenzer, Reg. No. 30,640
(650) 566-8040

Mailing Address:

Applied Materials, Inc.
Patent/Legal Department
P.O. Box 450A
San Jose, CA 95128

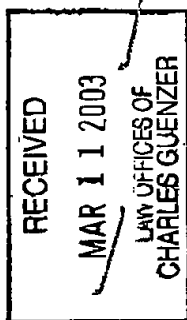
I certify that this document and fee is being deposited
on Feb. 24, 2003 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Ingrid C. Mallory

Typed or Printed Name of Person Mailing Correspondence

cc:



APPLICANTS: Jianming Fu
 TITLE: Self Ionized Sputtering Using a High Density Plasma Source
 SERIAL NO.: 09/918,136
 DATE FILED: July 30, 2001

Check Enclosed: Yes
 Mailing Cart: First Class

Kindly stamp receipt date and mail to acknowledge receipt of the accompanying:

1. Request for Continued Examination Transmittal (1 p.)
2. Amendment Transmittal Letter (1 p.)
2. Amendment Under 37 CFR 1.115 (8 pp.)
3. Check (\$1188)

Date Mailed: February 24, 2003
 File No: 3600.2390.D2



Doc dated: 3/14/03 ICM

LAW OFFICES OF CHARLES GUENZER		90-7162/3222	3271
OFFICE ACCOUNT			
P.O. BOX 60729			
PALO ALTO, CA 94306			
Pay to the order of	Commission of Patents \$ 1188.00		
	One Thousand and one hundred eighty eight Dollars		
WASHINGTON MUTUAL BANK, F.A.			
For	3600.2390.D2		
1:3222716271861 310986 311		3271	

Doc dated: 2/24/03 ICM

****FACSIMILE COVER SHEET****

MATCH & RETURN

TO: Ms. Nadine Clark
USPTO

FROM:

Fax No.: 703-578-6812
Voice No.: 578-6810

Charles S. Guenzer, Esq.
Law Offices of Charles Guenzer
2211 Park Blvd.
P.O. Box 60729
Palo Alto, California 94306
fax: (650) 566-8042
voice: (650) 566-8040
email: csg@palypat.com

Re: Duplicate papers

Your Ref: USSN 09/918,136

Our Ref: AM-2390.D2

REMARKS

Pursuant to your telephone request of today, attached are copies of papers filed February 24, 2003 and date stamped on March 4, 2003. Those papers are:

- (1) Stamped postcard and check (1 p.)
- (2) Request for Continued Examination (1 p.)
- (3) Amendment Transmittal Letter (1 p.)
- (4) Rule 115 Amendment (8 pp.)

I certify that the above papers are being faxed to the above fax number on June 12, 2003.



Charles S. Guenzer
Reg. No. 30,640
Attorney of Record

Date: 6/12/03
f:\fax_form\CSG\PTO

No. of Pages (including cover): 12